FORM PTO-1390 (REV. 10-2004)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

P/4674-2 U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** 

10/516659

ATTORNEY'S DOCKET NUMBER

INTERNATIONAL APPLICATION NO. PCT/EP2003/007953

INTERNATIONAL FILING DATE 22 JULY 2003

26 JULY 2002

TITLE OF INVENTION

## INTERNAL COMBUSTION ENGINE WITH WASTE GAS TURBO-CHARGERS

APPLICANT(S) FOR DO/EO/US

## Hermann Baumann

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
- 2. [ ] This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
- 3. [X] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [ ] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [X] is attached hereto (required only if not communicated by the International Bureau).
  - b. [ ] has been communicated by the International Bureau.
  - c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [X] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. [X] is attached hereto.
  - b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [ ] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [ ] are attached hereto (required only if not communicated by the International Bureau).
  - b. [ ] have been communicated by the International Bureau.
  - c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [ ] have not been made and will not be made.
- 8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [X] An (Executed) oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [ ] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment.
- 14. [X] An Application Data Sheet under 37 CFR 1.76
- 15. [ ] A substitute specification.
- 16. [ ] A power of attorney and/or change of address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-
- 18. [ ] A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19. [ ] A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
- 20. [X] Other items or information (specify): International Search Report, Two (2) sheets of drawings (Figs. 1-2), and postcard

Page 1 of 2

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)  10/516659  INTERNATIONAL APPLICATION NO. PCT/EP2003/007953		ATTORNEY'S DOCKET NUMBER P/4674-2			
21.[X] The following fees are submitted:				CALCULATIONS ONLY	PTO USE
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$950.00					
International preliminary examinat but international search fee (37 CF	tion fee (37 CFR 1.482) not FR 1.445(a)(2)) paid to USP	paid to USPTO	\$790.00		
International preliminary examinat but all claims did not satisfy provis	tion fee (37 CFR 1.482) pai sions of PCT Article 33(1)-	d to USPTO (4)	\$750.00		
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$950.00	
[ ] Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total Claims	10 - 20 =	0	x \$18.00	\$	
Independent Claims	1 - 3 =	0	x \$88.00	\$	_
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$300.00			\$		
TOTAL OF ABOVE CALCULATIONS =				\$	-
[ ] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$	
SUBTOTAL =				\$950.00	
[ ]Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$950.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00	
TOTAL FEES ENCLOSED =				\$990.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$
NOTE: Where an appropria	osit Account No. 15-0700 s sheet is enclosed. ereby authorized to charge it Account No. 15-0700. te time limit under 37 (b)) must be filed and g	on the amount of \$	which may be required its sheet is enclosed. The application to pend	re fees. ed, or credit any revive	004

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NO.313 P.3

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## TRANSLATION CERTIFICATION

This is a complete and accurate translation by us, to the best of our knowledge and ability, from German into English of:

WO 2004/013472; PCT/EP2003/007953

FRANK C. FARNHAM COMPANY, INC.

By: Thenk C. Farnham

Name: Frank C. Farnham

Its: General Manager

Date: December 1, 2004

